

Islamic Primary School

Guidelines for Handling School Complaints

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Contents

Foreword

Chapter I Scope of Application

Chapter II Guiding Principles for Handling Complaints

Chapter III Procedures for Handling Complaints

Chapter IV Arrangements for Handling Complaints

Chapter V Review of Complaints

Chapter VI Handling of Unreasonable Behaviour

Chapter VII Conclusion

Appendix I Complaints Relating to Daily Operations and Internal

Affairs of Schools

Appendix II Acknowledgement Letter (1)

Appendix III Acknowledgement Letter (2)

Appendix IV Complaint Record

Appendix V Reply Card

Foreword

Building a Culture of Communication

Education is greatly valued in Hong Kong. With the advancement of society, people become more aware of their own rights and interests, and have growing expectation that schools should provide quality education to nurture future generations. They place a premium on the quality of management and services that schools provide. Key stakeholders, especially parents, consider the holistic development of students imperative. They often make inquiries and provide feedback and suggestions regarding the performance of schools. It is therefore vital for schools to maintain good communication with their stakeholders. In addition to providing effective communication channels, schools should also encourage parents, students and staff to make good use of them to express their views and feelings, so as to build up mutual trust and confidence and avoid any unnecessary misunderstanding.

Handling Complaints in a Positive Manner

Nowadays, people strive for excellence, efficiency and pay attention to accountability and transparency in our society. Even though school have established mechanism and communication channels in response to inquiries and opinions from different sectors, there may still be complaints against school for various reasons. Our school will positively deal with complaints, patiently listen to and understand the opinions and criticisms raised by complainants, and respond to them promptly or within an appropriate time frame. In fact, constructive opinions and reasonable complaints will be valued as they will help the school improve. Our school will also adopt an open attitude to embrace different views, review relevant policies, suggestions and measures to identify any room for improvement in order to ensure continual improvement of school administration. If any views/complaints arising from misunderstanding or insufficient communication are identified, school personnel will explain to complainants in a sincere and patient manner in order to remove their doubts. Despite that, school may sometimes face extremely unreasonable behaviours of some complainants, which may consume immense manpower or even hamper the operations or services of the school. As such, our school will formulate appropriate policies and measures to deal with such unreasonable behaviour to ensure their operations would not be adversely affected

Formulating a School-based Mechanism

In order to handle complaints more speedily and effectively, and to respond to the reasonable demands from complainants, the school will establish or enhance our school-based mechanism and procedures according to our own situations and needs. A sound school-based complaint handling mechanism can help the school get to the root of the issues and take appropriate follow-up actions, such as introducing new measures or improving the existing policies and practices to prevent similar problems from recurring. The school will consult their stakeholders to ensure that the relevant mechanism and procedures are transparent and acceptable for effective implementation.

Our school will handle the complaint in accordance with our prescribed procedures if the organization/group has obtained prior written authorization from the person concerned.

Chapter I Scope of Application

1.1 The principles, procedures and arrangements proposed in the Guidelines are designed to help school handle complaints more effectively. They are applicable to the handling of the following types of complaints lodged by parents, students or the public through various means, including post, fax, email, phone or in person:

(i) Complaints about the daily operations and internal affairs of schools

- ◆ According to the spirit of school-based management, the Education Ordinance has authorized the Incorporated Management Committee (IMC) with the power and responsibility to manage school; therefore, our school will in collaboration with our sponsoring bodies, formulate school-based mechanism and procedures for handling school affairs. Any complaints involving daily operations and internal affairs of school (see Appendix I for relevant examples) will be directly lodged to school by complainants for effective handling.
- ◆ Upon receipt of any complaints about the daily operations and internal affairs of a school from the public or referred from other organizations (such as the Chief Executive's Office, Legislative Council, Equal Opportunities Commission, District Council, Offices of Councillors or other government departments), the EDB will seek the complainant's consent to refer the complaint to the relevant school for investigation and direct response. If the complainant does not consent to the referral, the EDB will not investigate the complaint. However, if the complaint involves serious incidents or maladministration, regardless of the complainant's disagreement to the referral, EDB may, on condition that no personal information will be disclosed, inform our school of the complaint for improvement of administration.
- ◆ The EDB may conduct direct investigation of any complaints under special circumstances, e.g. complaints about the maladministration of the IMC or serious mismanagement by the school.
- ◆ To ensure compliance with respective requirements, school will refer to the relevant circulars, guidelines and codes of practice when handling complaints, such as:
 - Complaints about child abuse: EDB Circular No. 1/2012
 - "Handling Child Abuse and Domestic Violence Cases"

Complaints about equal opportunities: EDB Circular No.33/2003 “The Principle of Equal Opportunities”

- Complaints about gender discrimination and sexual harassment: EDB Circular No.2/2009 “Amendment to the Sex Discrimination Ordinance (Cap. 480)”
- Complaints about race discrimination: EDB Circular No.25/2008 “Race Discrimination Ordinance”
- Complaints about procurement of services and goods (such as school bus service, provision of meal boxes, etc.): EDB Circular No.4/2013 “Procurement Procedures in Aided Schools”, EDB Circular No.24/2008 “Trading Operations in Schools”, and “Corruption Prevention Best Practice: Governance and Internal Control in Schools” and “The Integrity Management for Schools – A Practical Guidebook for School Staff” issued by the Independent Commission against Corruption (ICAC)
- Complaints about acceptance of advantages and donations: EDB Circular No.14/2003 “Acceptance of Advantages and Donations by Schools and their Staff”

(ii) Complaints about Education Ordinance, education policies and services provided by the EDB

- ◆ The EDB is responsible for formulating education policies, enforcing the Education Ordinance and providing education services. If a complaint falls into any of the following categories, it should be lodged to the EDB for direct handling, even though the case may have taken place in the school:
 - Complaints about education policies (e.g. class structure and class size);
 - Complaints about alleged contravention of the Education Ordinance (e.g. in relation to corporal punishment, unregistered teacher) or contravention of the Codes of Aid (e.g. exorbitant charges, expulsion of students); and
 - Complaints about services directly provided by the EDB (e.g. school place allocation, services provided by the Regional Education Offices).
- ◆ The EDB will also draw reference from relevant internal guidelines in handling the above complaints.

1.2 The Guidelines are **not applicable** to handling of the following types of complaints:

- ◆ Complaints related to ongoing legal proceedings;
- ◆ Complaints under the jurisdiction of other organisations/ government departments;
- ◆ Complaints governed by other ordinances or statutory regulations such as complaints against corruption, fraud or theft;
- ◆ Complaints lodged by school staff [handled in accordance with school-based or sponsoring bodies' staff complaint mechanism and guidelines (if applicable)]; if the EDB receives such complaints, it will handle them in accordance with the current procedures and reply to the complainant direct.)

1.3 In general, the following types of complaints will not be handled by school:

(i) Anonymous complaints

- ◆ Whether the complaint is made in written form or in person, the complainant should provide his/her name, correspondence/e-mail address and/or contact phone number. If in doubt, the school may request the complainant to show his/her identity documents. Should the complainant fail or refuse to provide these personal details, thus rendering it impossible for the school to investigate the complaint and reply in writing, the complaint will be deemed anonymous and the school may not handle it.
- ◆ However, under special circumstances (e.g. when there is sufficient evidence or when the case is serious or urgent), the middle or senior management of the school may decide whether to follow up with an anonymous complaint, such as treating it as an internal reference, informing the respondent about the complaint, or taking appropriate remedial and improvement measures. If follow-up actions are considered unnecessary, the school should briefly state the reasons and put on file for record.

(ii) Complaints not made by the person concerned

- ◆ Generally speaking, the person concerned should lodge the complaint by himself/herself. Anyone who seeks to file a complaint on behalf of the person concerned has to obtain his/her prior written consent. If the case involves a student (or a minor, or

an intellectually disabled person), then his/her parents/guardian, or the person authorised by the parents/guardian, may lodge a complaint on his/her behalf.

- ◆ If a complaint is lodged by more than one person on behalf of the person concerned, the school may require the person concerned to appoint one of them as the contact person.
- ◆ Sometimes a complaint is lodged on behalf of the person concerned or referred by other organisations/groups such as Legislative councillors, district councillors, trade unions or the media. Since there is no current legislation which empowers any organisation/group to complain on behalf of someone else, schools/sponsoring bodies may stipulate in their school-based mechanism whether they would accept such kind of complaint. Our school will handle the complaint in accordance with our prescribed procedures if the organization/group has obtained prior written authorization from the person concerned

(iii) Complaints involving incidents that happened more than one year

- ◆ Normally, complaints related to the daily operations of school should be lodged within the same school year. If the incident involved had happened more than one year, the environment might have changed or evidence might have disappeared, or the complainant/respondent might have already left his/her post or the school. The school will not be able to investigate the complaint because of the difficulty in collecting evidences. To provide greater flexibility, the one-year limit within which a complaint may be lodged should be one calendar year from the occurrence of the incident involved.
- ◆ Even though the complaint is filed after the incident had taken place more than one year, the school may decide to conduct an investigation under special circumstances, e.g. when there is sufficient evidence, or when the nature of the complaint is serious and urgent.

(iv) Complaints with insufficient information

School will require the complainant to provide concrete

information regarding a case. If the complainant fails to provide sufficient information to allow investigation to proceed, school will refuse handling the complaint concerned.

Chapter II Guiding Principles for Handling Complaints

2.1 In handling school-related complaints made by parents, students or the public, school will refer to the following guiding principles:

Principle I: Handling of complaints by the appropriate party/parties

2.2 A complaint should be directly handled by the organization which is responsible for making the policies or providing the relevant services, or manages the persons/matters being complained. In this way, it can better understand and effectively address the concerns of the complainant. Accordingly, school will handle those complaints relating to our daily operations and internal affairs, and the EDB will handle those complaints concerning the Education Ordinance, education policies and services. Complaints involving other laws of Hong Kong should be lodged to and handled by the relevant law enforcement agencies (e.g. the ICAC, Hong Kong Police Force, Equal Opportunities Commission, etc.).

2.3 If a complaint involves both school and the EDB, it should be handled by the particular school and related division(s)/section(s) of the EDB.

Principle II: Timely and efficient handling

2.4 For all inquiries, opinions or complaints, whether made in verbal or written form, our school will handle them promptly and reply as soon as possible to avoid the situation being aggravated. When receiving any inquiries/complaints, the frontline staff will handle them straight or refer to the designated officer/ committee for timely handling. If the frontline officer cannot resolve the problem, he will solicit assistance from his seniors.

2.5 If an incident is referred or reported to the school by the media, the school will adopt the following measures:

- ◆ appoint a spokesman (e.g. the vice-principal) to handle inquiries from the public/the media so as to avoid giving confusing messages.
- ◆ provide appropriate responses or clarification to the public as soon as possible (within one or two days), including information about actions taken or preliminary investigation results, and ensure that the information provided is clear, accurate and in line with requirements

under the Personal Data (Privacy) Ordinance.

- ◆ inform all teaching staff, students and parents of the progress of the case as far as possible; observe whether students and staff have been emotionally affected by the incident; and provide them with appropriate counselling where necessary.

Principle III: Clear and transparent mechanism

2.6 Our school will, in collaboration with our sponsoring bodies, set up clear and effective school-based mechanism and procedures to handle inquiries and complaints. Teachers and parents will be consulted to ensure that relevant procedures are accepted by stakeholders

2.7 Our school will prepare clear guidelines informing stakeholders of relevant policies, procedures and responsible persons. Parents and staff will be informed of related procedures through various open channels, such as school websites, circulars, student handbook, staff meetings, parent-teacher meetings, seminars and school events.

2.8 Our school will try our best to ensure that all staff responsible for handling inquiries and complaints are familiar and comply with the relevant policies and guidelines. To enhance mutual understanding and strengthen home-school co-operation, school will draw up strategies for regular communication with parents through different channels, e.g. briefings/information folders for new students and our parents, circulars issued at the beginning of each school year, etc. to inform them of the policies and procedures of complaint handling in school.

2.9 School will regularly review our complaint handling policies and guidelines by consulting our staff and parents, and revise the handling procedures whenever necessary.

Principle IV: Fair and impartial handling

2.10 School will approach complaints positively and treat the complainants and respondents of the complaints fairly. School will ensure that sufficient appeal channels are provided and consider inviting independent persons to participate in the complaint/appeal handling process, if necessary.

2.11 Before an investigation begins or where appropriate, the designated staff and related individuals will declare interests. If there is any conflict of interest, the persons concerned should not be involved in handling the case or have access to information relating to it.

2.12 To avoid conflict of interest, any staff member who is the respondent of the complaint will not be involved in handling the case, supervising the investigation, or signing and issuing letters to the complainant.

2.13 Our school will ensure that the rights of the complainants or other related persons who had filed/involved in the complaint and their future communication and contact with the school would not be affected

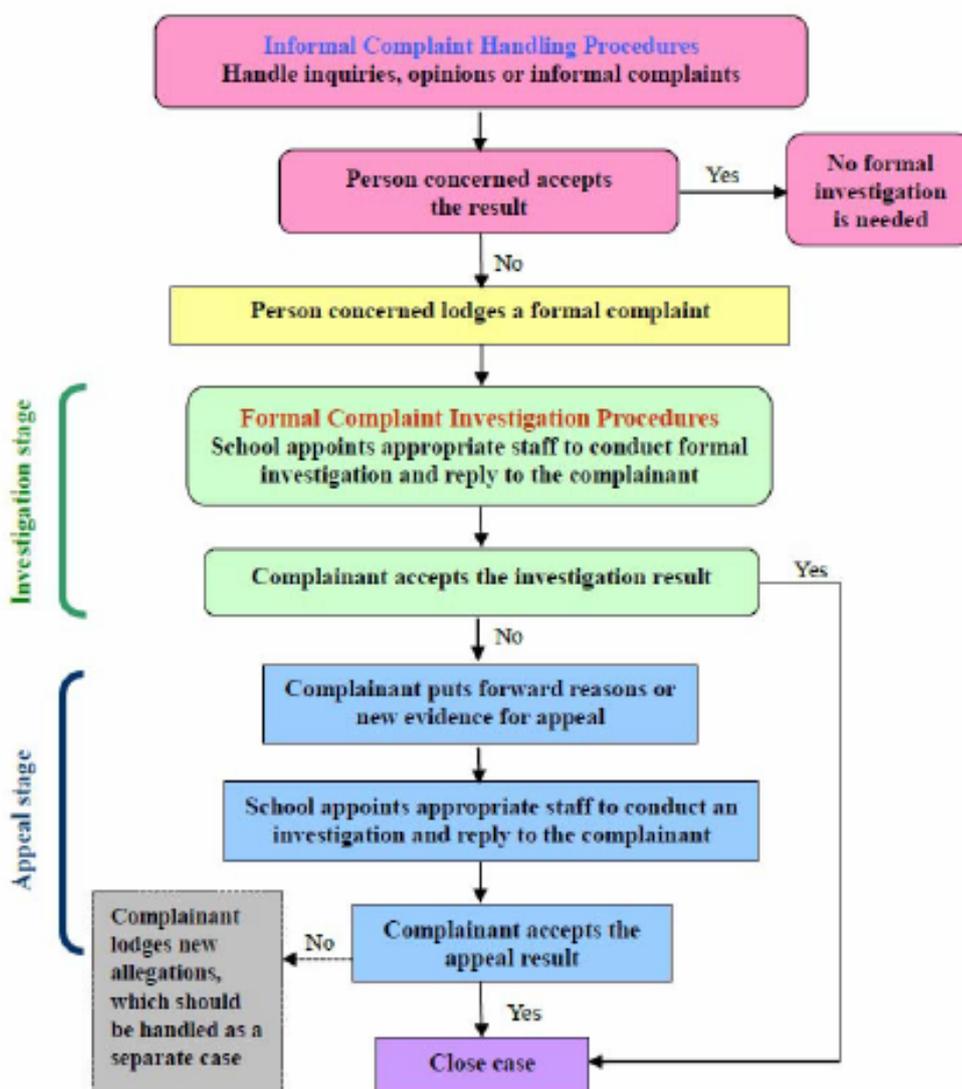
Chapter III Procedures for Handling Complaints

Interpretation of Complaints

3.1 To avoid confusion in the handling process, the frontline staff of our schools will carefully differentiate between concerns and complaints. A concern refers to the inquiry or opinion expressed by the stakeholders for the interests of themselves, their children or the school, with a view to changing or improving the existing situation. A complaint is an expression of disappointment, dissatisfaction or grievance expressed by the complainant. They may demand the school to rectify its mistakes, take disciplinary action against the suspected offenders, or resolve the issue(s) raised in the complaint. The responsible staff will avoid mixing up concerns with complaints in order to decide the appropriate procedures to handle them.

3.2 In general, unless the person concerned insists on making a formal complaint, the responsible staff can promptly provide assistance or help resolve his/her problem by following the informal complaint handling procedures. Please refer to Diagram 1 for the flowchart of complaint handling procedures in schools.

Diagram 1: Flowchart of School Complaint Handling Procedures



Informal Complaint Handling Procedures

Immediate/prompt handling

3.3 If inquiries or complaints can be timely and appropriately handled, it can remove misunderstandings, eliminate crises or enhance the image of the school. The following arrangements will be made:

- ◆ If the school receives an inquiry, opinion or informal complaint from the public, whether verbal or written, the frontline staff should clearly distinguish its nature and take appropriate action. In general, if the case does not require an investigation involving evidence collection, or the person concerned does not request a formal written reply, the frontline staff will handle the matter following the informal complaint handling procedures of the school.
- ◆ The frontline staff will listen to the concerns of the inquirer/complainant with care and understanding. If the incident is not serious, assistance and necessary information will be provided as far as possible to address their concerns and resolve their problems..
- ◆ If necessary, the school staff in charge of the relevant issue will have direct talks or interviews with the person(s) concerned to explain the schools' stance and remove any misunderstanding, misgivings or worries of them.
- ◆ The school will, according to its own situation, set a time limit for an initial response (e.g. within two days).
- ◆ If necessary, the frontline staff will refer the case to a designated staff or a senior officer for prompt follow up actions and resolutions. The principal will decide whether to take up the handling of the case, depending on the situation of the school and the nature of the case.

Replying to complaints

3.4 For verbal inquiries/opinions/complaints handled by the informal complaint handling procedures, oral replies will suffice and written replies are normally not required. For opinions/complaints which are presented in written form or if the school wishes to make clear its stance or provide necessary details, the responsible staff will decide whether a simple written reply to the person(s) concerned/complainant is appropriate.

Complaint records

3.5 Cases handled by the informal complaint handling procedures normally

need not be documented in formal written records. If an inquiry/complaint has been answered or resolved instantly, it is suggested that the designated staff or the principal will record the key points in a log book for future reference.

Appropriate follow-up

3.6 Schools will review whether the policies or procedures regarding complaints have been properly followed and suggest appropriate measures to improve the handling of similar cases or prevent similar cases from recurring. If necessary, the responsible staff will inform the person(s) concerned on the follow-up actions that the school has adopted and the results that follow.

Formal Complaint Investigation Procedures

Arrangements for the investigation and appeal stages

3.7 If the school has made its best efforts to resolve the problem through the informal complaint handling procedures but the complainant still does not accept the school's response or the problem remains unresolved, the following formal complaint investigation procedures (including an appeal mechanism) will be launched to handle the case:

(i) Investigation stage

If school receives any formal complaints (including those referred by the EDB or other organizations), they should be handled according to the following procedures:

- ◆ in accordance with the school-based mechanism, assign appropriate staff to investigate the complaint and reply to the complainant;
- ◆ acknowledge receipt of the complaint, seek the complainant's consent to obtain his/her personal data and information relating to the complaint, and inform him/her of the name, post title and phone number of the staff responsible for handling the case for contact purposes. Samples of Acknowledgement Letters are provided in Appendixes II and III;
- ◆ if necessary, contact the complainant and other persons involved or arrange meetings with them in order to have a better grasp of the situation or request them to provide relevant information;
- ◆ handle the complaint as quickly as possible (School is suggested to complete the investigation within two months after receiving the

complaint.), and send a written reply to inform the complainant of the investigation result;

- ◆ if the complainant accepts the investigation result, conclude the case officially; and
- ◆ if the complainant does not accept the investigation result or the way the school handled the complaint, and is able to provide new evidence or sufficient justification, he/she may lodge an appeal in writing against the school's decision within 14 days from the date of its reply.

(ii) Appeal stage

The school will adopt the following procedures with appeal cases:

- ◆ in accordance with the school-based mechanism, assign appropriate staff of a higher rank than those responsible for the investigation stage, or staff from a different section, to handle the appeal and reply to the complainant;
- ◆ handle and resolve the appeal as quickly as possible (It is suggested that school completes their investigation within two months after receiving the request for appeal.), and send a written reply to inform the complainant of the appeal result;
- ◆ if the complainant accepts the appeal result, conclude the case officially;
- ◆ if the complainant does not accept the appeal result or the way the school handled the appeal, the school will cautiously review the appeal process to ensure that proper procedures have been followed.
- ◆ if the complainant raises other new allegations, the schools will handle them separately in order to avoid mixing up the old complaints with the new ones.

Resolving conflict through mediation

3.8 In handling complaints, school will, as the case will be, decide whether it is appropriate to adopt different means to resolve conflicts quickly. This includes seeking mediation service from a mediator, or inviting independent persons/professionals to provide impartial views to assist the persons concerned (including the complainants and the persons/organizations being complained against).

Responding to complaints/appeals

3.9 If the complaint or appeal is in written form, school will respond with a written reply. If the complaint is made verbally, the responsible staff may decide whether to respond orally or in writing. If the case is referred by the EDB/other organization(s), a copy of the written reply should be forwarded to them for reference.

3.10 Generally speaking, the time limit for replying to a complaint/appeal should start from the date on which it is received or when the complainant agrees to let the school have access to his/her personal data. If the information submitted is incomplete, the time limit should start from the date on which the school receives from the complainant the necessary information. If a reply cannot be given within the specified period, the school should explain to the complainant in writing why a longer handling time is needed.

Complaint/appeal records

3.11 Our schools will keep a clear record of cases handled by the formal complaint investigation procedures. A sample complaint record is given in Appendix IV. School will establish a complaint record management system to store relevant information (including correspondences, investigation reports and interview records). In addition, school will keep statistics of complaints and appeals lodged through either the informal or formal handling procedures for future reference.

Appropriate follow-up

3.12 At the end of the investigation/appeal stage, school will review whether the complaint handling policies and procedures are appropriate, and suggest proper measures to improve the method of handling and to prevent similar incidents from recurring. The staff in charge should inform the person(s) concerned of the school's follow-up actions and outcome of the review.

Chapter IV Arrangements for Handling Complaints

Designated staff

4.1 Taking into account the nature of the complaint, its scope and the people involved, school will assign a designated staff or set up a task force to handle the complaint with reference to the following arrangements:

- ◆ Staff members who are responsible for the appeal stage will be different from those responsible for the investigation stage. In principle, the staff dealing with the appeal will be of a higher rank than those responsible for the investigation. If this is not practicable, the school will make other arrangements, such as appointing staff from another department, to ensure fair handling.
- ◆ Where necessary, our school/sponsoring body (CMCFA) will establish a task force to handle special complaint cases. Depending on the situation, the task force will include members of the IMC and representatives from the school sponsoring body. To enhance credibility, the school will invite independent persons such as social workers, lawyers, psychologists, and parents or teachers not involved in the case to join the task force to provide professional advice and support.
- ◆ The appointed staff should be proactive in communicating with the inquirers/complainants, and prompt in providing responses as well as the information they need. Our school will also ensure that frontline/designated staff have proper authorization and clearly understand their roles and responsibilities.
- ◆ Concerning the deployment of staff for handling complaints at different stages, school will refer to the examples in the table below:

Targets involved	Example	Investigation stage	Appeal stage
Teaching and school staff	1	Senior teacher	Vice Principal
	2	Vice Principal	Principal
	3	Principal	Supervisor
Principal	1	Supervisor	Designated staff of school sponsoring body #
	2	IMC Investigation Task Force*	Supervisor / IMC Appeal Task Force*
Supervisor / IMC		Designated staff of school sponsoring body# / Task force	Designated staff of school-sponsoring body# / Task force

Designated staff could be the staff or the person in charge of the education office of the school sponsoring body.

* If a complaint involves the Principal, the IMC investigation/appeal task force may include independent persons/managers.

Confidentiality

4.2 All contents and information of complaints will be kept strictly confidential and restricted to internal reference or reference by relevant persons only.

4.3 When handling complaints, if school have to collect personal data or they receive request for collection of relevant data/records in respect of the complaint case, they will observe the relevant requirements and recommendations in Personal Data (Privacy) Ordinance, including clearly stating the purpose and methods of personal data collection and indicating that relevant data will only be used for handling complaints or appeals. School will refer to relevant provisions in Personal Data (Privacy) Ordinance (Cap. 486) and the webpage of Office of the Privacy Commissioner for Personal Data at (<http://www.pcpd.org.hk/>).

4.4 Our school will adopt appropriate security measures to protect personal data and privacy, such as keeping the data in safe places (e.g. cabinets under lock and key). Computer data should be protected by passwords. Use of portable data storage devices will be tightly controlled. Where necessary, encrypted portable data storage devices will be used.

4.5 Our school will establish procedures to ensure that only authorized persons are allowed access to information relating to the case. The responsible persons will not disclose or discuss in public any contents or information relating to the case without authorization.

4.6 our school can incorporate the arrangements for interviews or meetings with relevant parties into the school-based complaint handling mechanism. To avoid misunderstanding, school will:

- ◆ state clearly whether the person(s) concerned can be accompanied by others (e.g. relatives, legal representatives) during the interview/meeting and reiterate this stance before the interview/meeting starts; and
- ◆ indicate before the interview/meeting starts whether audio/video recording is prohibited or whether the consent of all attendees must be obtained if the session is to be audio/video recorded. This stance will be reiterated before the end of the interview/meeting.

Follow-up and evaluation

4.7 Our school will conduct a comprehensive review on the strategies, process and steps taken in handling complaints in order to benefit from past experiences, improve the way of handling, and avoid similar cases from recurring.

4.8 Our school will take appropriate follow-up measures to improve our services or revise relevant policies for enhancement of professional services.

4.9 our school will regularly review the school-based complaint handling policies and report to the IMCs by providing, for example, relevant data concerning complaint/appeal cases, and suggest, if necessary, improvement measures to enhance the school-based complaint handling mechanism and procedures.

Support and training

4.10 Our school will provide appropriate training to assist staff to effectively handle inquiries/complaints, e.g. providing training programmes on communication, negotiation and mediation skills, or arranging experience sharing sessions for frontline/designated staff to enhance their capability in handling complaints and resolving conflicts.

4.11 To enhance the knowledge and skills of school staff (including principals, teachers, administrative and frontline staff) in the prevention and handling of complaints, the EDB will organize relevant training programmes. School will visit the website of the EDB's Training Calendar System and encourage staff to attend relevant courses.

Chapter V Review of Complaints

5.1 Most school-related complaints can be settled through the informal and formal complaint handling procedures. However, some complaints may remain unresolved after the investigation and appeal stages. Complainants or relevant organizations (including school/the EDB) may request the “Review Board on School Complaints” (Review Board) to review these cases under the following circumstances:

- ◆ The complainant provides substantial grounds or new evidence to show that the school/EDB has handled the case improperly.
- ◆ The complaint has been properly dealt with through established procedures by the school/EDB but the complainant refuses to accept the investigation result and continues to complain.

Membership of the Review Board

5.2 The EDB has set up a Panel of Review Boards on School Complaints (the Panel)¹. Its members are independent persons from the education and other sectors appointed by the Permanent Secretary for Education. The Panel comprises a Chairperson, a Deputy Chairperson and at least ten members. The tenure of membership is two years.

5.3 Where necessary, the Panel may set up several Review Boards to review different complaint cases. Each Review Board is composed of the following members:

- (i) The Chairperson/Deputy Chairperson of the Panel; and
- (ii) Two other members appointed by rotation from the Panel.

If necessary, the Review Board may invite up to two non-members (such as school staff, representatives of the EDB or professionals) to sit on the Board to provide information and/or advices on the case.

5.4 Members of the Review Board should declare interests. Persons who have any conflict of interest with the organizations and/or persons relating to the case under review are obliged to refrain from participating in the review.

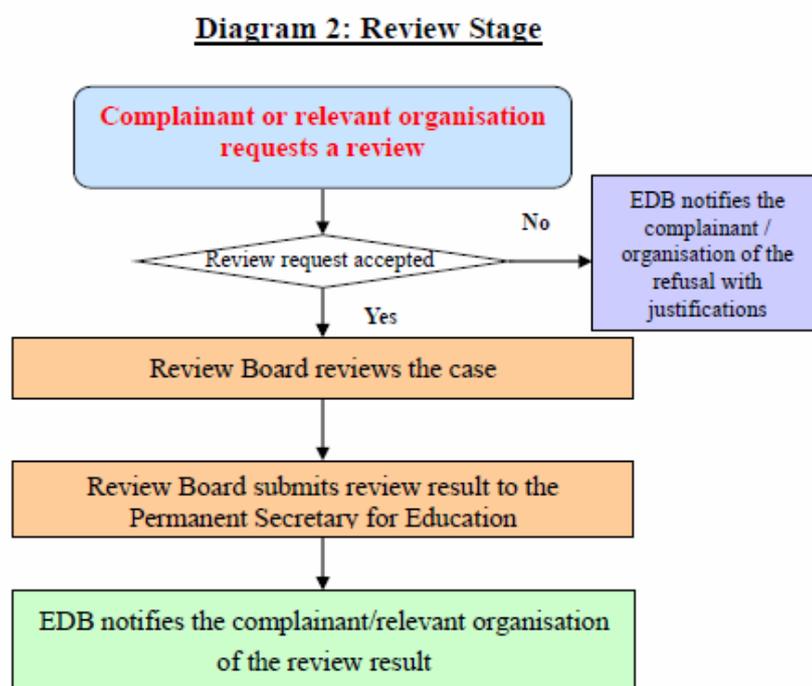
¹ The EDB set up the Panel of Review Boards on School Complaints in January 2013. At the moment, the independent review committee will only review complaint cases related to those schools participating in “Pilot Project on Enhancement of Complaint Management in Schools” .

Functions and powers of the Review Board

5.5 The Review Board is responsible for reviewing school-related complaint cases that have been handled by schools or the EDB through the investigation and appeal stages. The review results and recommendations will be submitted to the Permanent Secretary for Education for consideration.

Review procedures

5.6 Please see Diagram 2 for the review procedures.



5.7 School will inform complainants in the reply after the appeal that if they do not accept the result of their appeal or the way the appeal has been handled, they may apply in writing to the EDB for a review within 14 days from the date of the school's reply. In requesting a review, the complainant should state explicitly in the application the reasons for his/her discontent (e.g. the case has not been handled according to proper procedures or the investigation result is prejudiced) and provide substantial justifications or new evidence. The EDB will then forward the case to the Panel to decide whether a review should be conducted.

5.8 If the review application is accepted, the Panel will set up a Review Board to handle it. If the application is unsuccessful, the EDB will inform the

applicant/relevant organization in writing of the reasons for refusal.

5.9 The person who requests a review is required to sign a letter of consent, authorizing the Review Board to forward information regarding the complaint to the school/school sponsoring body and/or other relevant organization(s)/person(s). The Review Board also has the right to obtain information related to the complaint/review from the complainant, the school/school sponsoring body and/or other relevant organization(s)/ person(s).

5.10 The review process mainly involves examination of investigation reports and related documents. Taking into account the subject matter and nature of the case, the Panel may:

- ◆ scrutinise the information provided by the complainant, respondent, school/school sponsoring body and/or EDB, as well as the files and records associated with the case;
- ◆ require the complainant, respondent, school/school sponsoring body and/or EDB to clarify information and/or provide new evidence;
- ◆ meet with the complainant, the respondent and/or other persons concerned respectively to collect further information.; and
- ◆ invite the complainant, respondent, representative(s) of the school/school sponsoring body, and/or representative(s) of the EDB to attend case meetings.

5.11 To protect personal privacy, the Review Board/EDB may not disclose to anyone any personal information related to the complaint without the consent of the relevant parties (including the complainant, respondent, and/or the school/school sponsoring body).

5.12 If the Review Board wishes to interview specific persons or convene a meeting on the case, it will make the following arrangements:

- ◆ The persons to be present at the interview or case meeting must be involved in the complaint and have obtained the approval of attendance from the Chairperson of the Review Board.
- ◆ During the interview or case meeting, the complainant is not allowed to question the respondent or other witnesses, and vice versa.
- ◆ Audio/video recording is prohibited during the interview or case meeting.

Result of review

5.13 The Review Board will examine whether the case has been handled properly according to the complaint handling procedures and whether the result of investigation by relevant party is fair and reasonable. It will then make recommendations on whether to close the case, settle the disputes through mediation, implement follow-up/remedial measures, or conduct a re-investigation. The review results and recommendations will be submitted to the Permanent Secretary for Education.

5.14 The EDB will draw a final conclusion with reference to the review result and recommendations of the Review Board. The relevant person(s)/organization(s) will be informed in writing of the outcome within three months after receipt of the request for review. If the EDB accepts closure of the case as recommended by the Review Board, the EDB and the school will cease to handle the complaint. If the persons/organizations requesting the review consider the result unacceptable, they may further appeal via other channels.

5.15 If the Review Board recommends that the case be re-investigated by the school/EDB, the school/EDB should assign staff at least one rank higher than those who handled the case previously to conduct the re-investigation. The re-investigation should be completed within two months and the result submitted in writing to the Review Board. Upon the Board's endorsement, the school/EDB should issue a written reply to the complainant and copy it to the Board. If the school/EDB cannot complete the investigation within two months, it should notify the complainant in writing of the reasons and the time needed for a definite reply.

Chapter VI Handling of Unreasonable Behaviour

6.1 Appropriate communication and mediation are conducive to removing misunderstanding and enhancing mutual trust. Under general circumstances, our school will not put any restrictions on complainants making contact with the school. However, sometimes certain unreasonable behaviour of complainants may have a negative impact on the school, e.g. draining a considerable amount of the schools' human resources, interrupting their operations or services, as well as threatening the safety of staff and other stakeholders. School will therefore develop appropriate policies and measures to handle this kind of unreasonable behaviour to ensure that the operation would not be affected.

Definition of unreasonable behaviour

6.2 Complainants' unreasonable behaviour can generally be classified into the following three types:

(i) Unreasonable attitude or behaviour, such as:

- ◆ Acts of violence or intimidation
- ◆ Making complaints with abusive language or in an insulting and discriminatory tone
- ◆ Providing false data or deliberately concealing facts

(ii) Unreasonable demands, such as:

- ◆ Requesting a huge amount of information or demanding special treatment
- ◆ Making telephone calls incessantly to ask for a dialogue or an interview, or to command a certain staff member to reply
- ◆ Commanding a certain staff member to meet at a specific time and place

(iii) Unreasonable persistent complaints, such as:

- ◆ Insisting on rejecting the explanations and findings of the school/EDB, and/or requiring the school/EDB to discipline certain person(s), even after appropriate investigation procedures have been taken
- ◆ In respect of the same case, repeatedly making the same complaints or presenting similar justifications as before without providing any new evidence

- ◆ In respect of the same case, persistently bringing in new allegations or new complaint targets, but failing to present concrete evidence
- ◆ Interpreting things in an unreasonable or irrational manner, or wrangling over trivial details

Formulating school-based policy

6.3 School will consider instituting appropriate policies and measures to deal with unreasonable behaviour of complainants:

- ◆ School will designate suitable staff members to ascertain whether a complainant's behaviour is reasonable, and decide what measures should be taken. Generally speaking, the principal can make such decisions. However, if the complaint is lodged against the principal, such decisions should be made by the school supervisor or the IMC.
- ◆ School will integrate their policies regarding unreasonable behaviour of complainants into the school-based complaint handling mechanism and consult stakeholders' views.
- ◆ All stakeholders will be informed of the school's policy regarding unreasonable behaviour of complainants.

Handling of unreasonable behaviours

6.4 While developing policies and measures to deal with unreasonable behaviour of complainants, school will consider the following suggestions:

(i) Unreasonable attitude or behaviour

- ◆ Any unreasonable attitude or behaviour, including acts of violence, intimidation, and abusive/offensive conduct or language, whether performed face-to-face, by phone, or in writing are unacceptable. The staff member handling the complaint will convey this message clearly to the complainant and demand that he/she stop acting in such a way. If the complainant refuses to comply after the warning, the staff member may terminate the meeting or conversation with him/her.
- ◆ School will develop contingency measures and guidelines to remind staff responsible for handling complaints to stay alert and take suitable action to protect their own safety. School will empower the

staff member to make decision, depending on the situation, on whether to terminate the interview or dialogue with the complainant and ask the complainant to leave, if his/her behaviour poses an immediate threat to the staff's personal safety or damages their personal interests. In an emergency or if it is deemed necessary, the school will take appropriate and decisive action, such as reporting to the police or taking legal action.

(ii) Unreasonable demands

- ◆ If a complainant makes unreasonable demands which have an adverse impact on the school, e.g. interrupting its operation/services or other stakeholders are affected by the unreasonable behaviour of the complainant, the school will consider putting restrictions on the complainant's contacts with the school, including specifying the time, frequency, date, duration and modes of communication (for example, requiring the complainant to make an appointment before visiting the school, submit his/her views in writing, or contact only with the staff designated by the school). The school must notify the complainant in writing of such arrangements and handling procedures.
- ◆ If the complainant's behaviour improves, the school will consider whether the restrictions should be lifted. If the school decides to keep the restrictions, it should regularly review the conditions for imposing them.

(iii) Unreasonable persistent complaints

- ◆ Faced with these complaints, if the school has carefully examined the case and handled it properly under the prescribed investigation and appeal procedures, and sent a detailed and unbiased written explanation regarding the outcome to the complainant, the school will decide whether to restrict or stop contacts with the complainant, and cease handling the case altogether.
- ◆ To avoid any unrealistic expectations on the part of the complainant, the school will communicate to him/her in a firm manner that a final decision has been made regarding the case and that the decision is irreversible.
- ◆ In response to these complaints, the school will send a "Reply Card" to the complainant, referring him/her to the replies previously given, and reiterate that the school will neither respond to the same complaint nor contact him/her again. Please see Appendix V for a sample "Reply Card".

Chapter VII Conclusion

Effective school-based mechanism

7.1 To ensure that public inquiries/complaints are properly handled, school will establish a school-based complaint handling mechanism and procedures relevant to their own circumstances and the needs of stakeholders. It will be:

- ◆ Clear and unambiguous
- ◆ Open and transparent
- ◆ Concise and easy to follow
- ◆ Fair and just
- ◆ Able to protect confidentiality of information
- ◆ Under continuous review and improvement

7.2 An effective school-based complaint handling mechanism not only increases public confidence in school governance, but also prevents public opinions/inquiries from evolving into formal complaints or unnecessarily escalating to the EDB or other government departments/organizations.

Maintaining good communication

7.3 In addition to formulating an effective complaint handling mechanism, our school will continue to maintain a close partnership with parents and staff by enhancing communication with them. Members of the Parent-Teacher Association of the school may serve as a bridge of communication by helping to explain the school policies to parents, relieving their sentiments of dissatisfaction, and playing the role of mediator when necessary. To enhance the standards of the professional services they provide, school will always assume an open attitude and listen to the views of their sponsoring bodies and stakeholders to identify room for improvement regarding the school-based inquiry/complaint handling mechanism and procedures.

Appendix I

Complaints Relating to Daily Operations and Internal Affairs of School

Domain	Examples
Management and Organization	<ul style="list-style-type: none"> ■ School accounts (e.g. accounting records) ■ Other charges (e.g. extra-curricular activities charges and registration fees) ■ School policies (e.g. system of reward and penalty, arrangements regarding students' suspension from school) ■ Standards of contractors' services (e.g. school bus services, supply of meal boxes) ■ Service contracts (e.g. tendering procedures) ■ School environment and hygiene (e.g. noise pollution, mosquitoes problems)
Learning and Teaching	<p>School-based curriculum (e.g. subject lesson time)</p> <ul style="list-style-type: none"> ■ Selection of subjects and class allocation (e.g. arrangements for students' choice of subjects) ■ Homework (e.g. amount of homework , school-based assessment criteria) ■ Students assessment (e.g. assessment criteria) ■ Staff performance (e.g. behaviour/attitudes of teaching staff, job performance)
School Ethos and Student Support	<ul style="list-style-type: none"> ■ School ethos (e.g. uniform and other aspects of appearance) ■ Home-school cooperation (e.g. consultation mechanism, communication channels) ■ Student support (e.g. support for students with special educational needs) ■ Extra-curricular activities (e.g. arrangements for interest groups and other student activities)
Student Performance	<ul style="list-style-type: none"> ■ Students' overall performance (e.g. academic results, conduct) ■ Student discipline (e.g. foul and abusive language, smoking, fighting, bullying)

Appendix II

Acknowledgement Letter (1)

[For cases where complainants have provided their personal particulars and no referral is needed.]

DD MM YYYY

Name of the complainant

Address of the complainant

Dear Mr/Ms *XX:

We received your written/verbal* complaint on DD MM YYYY. The case is being investigated and a reply will be sent to you within XX days/as soon as possible.

If you have any inquiries, please contact Mr/Ms X (Teacher/Panel Chairperson/Vice Principal*) at XXXXXXXX (telephone number).

(Signature)

Principal of XXXXXXX School /

Name and post

of the designated staff*

* Please delete where inappropriate

Appendix III

Acknowledgement Letter (2)

[For cases where referral of the complaint to a third party (e.g. government departments or contractors of school services) is needed.]

DD MM YYYY

Name of the complainant

Address of the complainant

Dear Mr/Ms* XX:

We received your written/verbal* complaint on DD MM YYYY. To facilitate our investigation and follow-up, please fill in the reply form attached and send it to us before DD MM YYYY. We will notify you of the outcome when the investigation is completed.

If you have any inquiries, please contact Mr/Ms X (Teacher/Panel Chairperson/Vice Principal*) at XXXXXXXX (telephone number).

(Signature)

Principal of XXXXXXX School /

Name and post

of the designated staff*

* Please delete where inappropriate

Acknowledgement Letter (2)

Reply Form

To: Name of School

File No.: (if applicable)

Name of the complainant: Mr/Ms _____

[Please write the name as appears on your HK I.D. Card]

Correspondence Address: _____

Contact No.: _____

I understand that the personal information provided above will only be used for investigating the complaint.

To assist the school in handling this complaint, I agree that:

1. The school may forward copies of the complaint and other information I present to relevant persons/ organizations; and
2. The school may ask relevant persons/organizations for my personal details and other information related to this complaint.

Date

Signature of the complainant

Item that must be completed.

Appendix IV

Complaint Record

Date received _____

- Source:** Directly lodged to the school
 Referred by the EDB
 Referred by other organizations: _____

- Mode:** Phone Letter Email Fax In person
 Others: _____

Personal information of the complainant:

Name: Mr/Ms/Mrs _____

Identity: Parent Councillor Public

Organization _____

Others _____

Authorized representative of the complainant (please state the name, address and contact telephone number of the representative and his/her relation with the complainant):

Tel: _____ Fax: _____ Email: _____

Address: _____

Subject(s) of complaint:

Principal Teacher Staff

Others: _____

Areas of Complaint:

Management and Organization Learning and Teaching

School Ethos and Student Support Student Performance

Others _____

Summary of complaint:

Investigation stage

Person-in-charge

Issue of Notice of Acknowledgement (date: _____)

Telephone contact (date: _____)

Interview with the complainant (date: _____)

Issue of written reply (date: _____)

Summary of findings:

Appeal stage (if applicable)

Date of appeal: _____

Person-in-charge: _____

Issue of Notice of Acknowledgement (date : _____)

Telephone contact (date : _____)

Interview with the complainant (date: _____)

Issue of written reply (date: _____)

Summary of appeal result:

Follow-up actions or recommendations (if applicable)

Signature of person-in-charge: _____

Appendix V
Sample Reply Card
DD MM YYYY

Name of the complainant
Address of the complainant

Dear Mr/Ms* XX:

We received your letter dated DD MM YYYY. Our stance on the relevant issue has been detailed in our reply/replies dated DD MM YYYY (and dates of other replies [if applicable]). We will not respond to the same complaint nor contact you again.

(Signature)

Principal

XXXXXXXXXX School/

Name and post of the designated staff*

* Please delete where inappropriate